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Application No.	09/945512	Prepared by	TMM
Examiner-GAU	Booth - 2812	Date	9/7/04
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a. Serial No.	f. Foreign Priority	k. Print Claim(s)	p. PTO-1449
b. Applicant(s)	g. Disclaimer	l. Print Fig.	q. PTOL-85b
c. Continuing Data	h. Microfiche Appendix	m. Searched Column	r. Abstract
d. PCT	i. Title	n. PTO-270/328	s. Sheets/Figs
e. Domestic Priority	j. Claims Allowed	o. PTO-892	t. Other

SPECIFICATION

- a. Page Missing
- b. Text Continuity
- c. Holes through Data
- d. Other Missing Text
- e. Illegible Text
- f. Duplicate Text
- g. Brief Description
- h. Sequence Listing
- i. Appendix
- j. Amendments
- k. Other

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Thank You
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RESPONSE _____

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Instructions for Form 1449A(PTO) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Consideration Application Number: 09/945512 Filing Date: August 30, 2001 First Named Inventor: Forbes, Leonard Group Art Unit: 2812 Examiner Name: Booth, Richard	
Sheet 1 of 1		Attorney Docket No: 1303.027US1	



US PATENT DOCUMENTS						
Examiner Initials	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date if Appropriate
	US-5,952,692	09/14/1999	Nakazato, K., et al.	257	321	10/28/1997
	US-6,210,999	04/03/2001	Gardiner, , et al.	438	183	12/04/1998
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Document No.	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	?*

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		SHI, Y., "Tunneling Leakage Current in Ultrathin (<4 nm) Nitride/Oxide Stack Dielectrics", IEEE Electron Device Letters, 19(10), (1998), pp. 388-390
		ZHANG, "Atomic Layer Deposition of High Dielectric Constant Nanolaminates", Journal of The Electrochemical Society, 148(4), (2001), F63-F66

EXAMINER	DATE CONSIDERED
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* Examiner's initial in parentheses indicates whether or not disclosure is in conformance with 35 U.S.C. Section 102(e). Crosses indicate disclosure is not in conformance and not conditioned. Includes copy of this form with each communication to applicant. Applicant's written status designation number designated. Attached is a photocopy of each form if English language Translation is attached.

AUG 30 2004
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>		<small>PTO-933/05N (0-01)</small> <small>Approved for use through 10/31/2002. OMB No. 0755-0234</small> <small>U.S. Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE</small> <small>Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.</small>																													
		Complete if Known <table border="1"> <tr> <td>Application Number</td> <td colspan="4">09/945,512</td> </tr> <tr> <td>Filing Date</td> <td colspan="4">August 30, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="4">Forbes, Leonard</td> </tr> <tr> <td>Group Art Unit</td> <td colspan="4">2812</td> </tr> <tr> <td>Examiner Name</td> <td colspan="4">Booth, Richard</td> </tr> </table>					Application Number	09/945,512				Filing Date	August 30, 2001				First Named Inventor	Forbes, Leonard				Group Art Unit	2812				Examiner Name	Booth, Richard			
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US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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	US-6,730,575	05/04/2004	Eldridge, Jerome M.	257	310	08/30/2001

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Substitute Disclosure Statement Form (PTO-1449)

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